

# AUDIT PREPARATION & REMEDIATION



We are dedicated to helping you achieve your information technology and security goals. We believe that we are on a path with our customers confronting daily and long term challenges that requires a cooperative approach. Each day, we will work with you to strengthen our relationship and overcome those challenges.

## AUDIT



**IT Audits** Verification that your controls are working as designed is critical to your confidence in your information technology and security processes. We have experience with CoBIT and NIST frameworks.

**Cyber Security Risk Assessments** - We can develop a risk assessment based upon the June 2015 FFIEC Cyber Security Risk Assessment or the June 2014 NIST Cyber Security Framework to identify and measure your cyber security risk profile.

**General Risk Assessments** - We have performed numerous risk assessments to evaluate new and evolving services for our customers. We can help you pioneer new services safely.

**ACH Annual Audit** - We provide an ACH Audit in accordance with NACHA requirements.

**Interest Rate Risk Independent Audit** - We verify that it is effectively meeting business needs and FDIC regulatory guidance for interest rate risk management.

**Bank Secrecy Act Model Validations** - We provide the most thorough BSA model validations that exceed standard compliance review. Our consultants verify model specifications, processes, authorizations, inputs, rules, calculation, and output for accuracy and effectiveness.

## ETHICAL HACKING



**Vulnerability Assessment** - We provide type 1 reviews that review the policies and design of your network or type 2 reviews that include testing to confirm the technical network controls in place and reveal vulnerabilities.

**Penetration Testing** - We believe that penetration testing should be more than implementing an automated tool. We go deeper to provide you with assurance that your data remains confidential, accurate and available by finding vulnerabilities and confirming their exploitability.

**External** - Provides network infrastructure performance and fault monitoring with notifications when alerts occur. Client notification of critical outages and automated reports are the core service deliverables.

**Internal** - With a network connection and a secured tunnel established to our SecurScanner, our security technicians will begin to perform a thorough internal security assessment and attempt to escalate their level of access on the network.

**Social Engineering** can root out and document potential areas of weakness. We will identify areas that need improvement, document compliance shortfalls pertinent to regulatory agencies, and assist you in developing security awareness training to fix the issue.

## COMPLIANCE



**Vendor Management** - We implement vendor management for you that is compliant with the toughest regulatory standards while keeping the process cost effective.

**Business Continuity Management** - We can implement and maintain an FFIEC compliant Business Continuity Plan that will allow you to maintain the availability of critical processes during foreseeable disasters.

**Incident Response** - We can create a program for detection and analysis, containment eradication and recovery and post incident reporting that meets NIST standards and your needs (need technical support, notification support and a CFE or fraud expert).

**Policy Development** - We provide policies that are tailored to meet your unique requirements.

**User Access** - We can develop and maintain a successful user access process for you that provides user and vendor access without sacrificing the confidentiality of your data.

